



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

| In re Application of: |) Art Unit: 2655 |
|--------------------------------------------|-------------------------|
| Bennett |) |
| |) Examiner: Doris Ha To |
| Serial No.: 10/603,998 |) |
| |) |
| Filed: June 25, 2003 as a continuation-in- |) |
| part of application number 09/439,145, |) |
| filed November 11, 1999, now U.S. patent |) |
| 6,633,846 |) |
| • | • |
| | |

For: Speech based learning/training system using semantic decoding

INFORMATION DISCLOSURE STATEMENT

Mail Stop Amendment Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

, Ξ

- 1. Pursuant to 37 C.F.R. 1.97 and 1.98, and in compliance with 37 C.F.R. 1.56, the Office's attention is directed to the patents, publications and other information listed on the attached PTO-1449. Regarding the document(s), publication(s) or other information listed on the attached PTO-1449, Applicant believes the same may qualify as "prior" art to this application and should be treated accordingly, although Applicant(s) reserve(s) the right to contest the prior art status of any document, publication or information cited herein.
- 2. Pursuant to 37 C.F.R. 1.97(b) this Statement is being filed before the mailing date of a first Office action on the merits.

Attorney Docket No.: PHO 99002CIP

Respectfully submitted,

J. Nicholas Gross Registration No. 34,175 Attorney for Applicant(s)

February 10, 2005 726 Duboce Ave. San Francisco, CA 94117 Tel. (415) 355-9800 Fax (415) 355-9006

I hereby certify that the foregoing is being deposited with the U.S. Postal Service, postage prepaid, to Mail Stop Amendment, Commissioner for Patents, P.O. Box 1450 Alexandria, VA 22313-1450, this 10th day of February 2005.

Information Disclosure Statement By Applicant

Substitute for form 1449/PTO

Page 1 of 1

Application Number: 10/603,998 Filing Date: 06/25/2003

First Named Inventor: Bennett

Art Unit: 2655

Examiner Name: Doris Ha To

Attorney Docket Number: PHO 99002CIP

| Examiner Initials | Other Publications | |
|----------------------|------------------------------------------------------------------------------------------------------|--|
| | AGARWAL, R., "Towards a PURE Spoken Dialogue System for Information Access," believed | |
| | to be published in Proceedings of the ACL/EACL Workshop on Interactive Spoken Dialog | |
| | Systems: Bringing Speech and NLP Together in Real Applications, Madrid, Spain, 1997, 9 pages. | |
| | AMMICHT, Egbert et al., "Knowledge Collection for Natural Language Spoken Dialog Systems," | |
| | believed to be published in Proc. Eurospeech, Volume 3, Page 1375-1378, Budapest, Hungary, | |
| | Sep. 1999, 4 pages. | |
| | AT&T Corp., "Network Watson 1.0 System Overview," 1998, 4 pages. | |
| | AT&T Corp., "AT&T WATSON Advanced Speech Applications Platform," 1996, 3 pages. | |
| | AT&T Corp., "AT&T WATSON Advanced Speech Applications Platform Version 2.0," 1996, | |
| | 3 pages. | |
| | AT&T Corp., "AT&T WATSON Advanced Speech Application Platform Version 2.0," 1996, | |
| | 8 pages. | |
| | GORIN, Allen, "Processing of Semantic Information in Fluently Spoken Language," believed to | |
| | be published in Proc. ICSLP, Philadelphia, PA, Oct. 1996, 4 pages. | |
| 1 | GORIN, Allen et al., "How May I Help You," believed to be published in Proc. IVTTA, Basking | |
| | Ridge, NJ, Oct. 1996, 32 pages. | |
| | MOHRI, Mehryar, "String Matching With Automata," Nordic Journal of Computing, 1997, 15 | |
| | pages. | |
| | Prudential News, "Prudential Pilots Revolutionary New Speech-Based Telephone Customer Service System | |
| | Developed by AT&T Labs - Company Business and Marketing," December 6, 1999, 3 pages. | |
| | RICCARDI, Giuseppe et al., "A spoken language system for automated call routing," believed to | |
| | be published in Proc. ICASSP '97, 1997, 4 pages. | |
| | SHARP, Douglas, et al., "The Watson Speech Recognition Engine," accepted by ICASSP, 1997, 9 | |
| | pages. | |

Examiner Signature: Date Considered: